

Know Your Client (KYC) Application Form (For Individuals Only)			
Please fill the form in ENGLISH and in BLOCK letters Fields marked * are mandatory are pertaining to CKYC and mandatory only if processing CKYC		Application number : Application Type* : ☐ New KYC ☐ Modification KYC	
KYC Mode*: Please Tick ☒			
☐ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Offline EKYC ☐ Digilocker			

1. IDENTITY DETAILS (PLEASE REFER GUIDELINES OVERLEAF)

PAN *				Lat/Long:
Name* (same as ID proof)				
Maiden Name (if any)				
Father/spouse Name*				
Date of Birth*				
Gender*	☐ Male	☐ Female	☐ Transgender	
Marital status*	☐ Single	☐ Married		
Nationality*	☐ Indian	☐ Others		
Residential Status*	☐ Resident individual ☐ Foreign National ☐ Non-Resident Indian ☐ Person of Indian origin +			
(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)				

Proof of Identity (POI) submitted for PAN exempted cases (Please tick ☒)			
☐ A — Aadhaar Card			
☐ B — Passport Number		(Expiry Date)	
☐ C — Voter ID Card			
☐ D — Driving License		(Expiry Date)	
☐ E — NREGA Job Card			
☐ F — NPR			
☐ Z — Others	(any document notified by Central Government)		
Identification Number			

2 ADDRESS DETAILS* (PLEASE REFER GUIDELINES OVERLEAF)

A. Correspondence/ Local Address*			
Line 1*			
Line 2			
Line 3			
City/Town/Village/District*		State*	
Country*		PIN Code*	
Address Type*	☐ Residential/ Business ☐ Residential ☐ Business ☐ Registered office ☐ Unspecified		
			Applicant e- SIGN

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)			
Line 1*			
Line 2			
Line 3			
City/Town/Village/District*		State*	
Country*		PIN Code*	
Address Type*		☐ Residential/ Business ☐ Residential ☐ Business ☐ Registered office ☐ Unspecified	
Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)			
☐ A — Aadhaar Card			
☐ B — Passport Number		(Expiry Date)	
☐ C — Voter ID Card			
☐ D — Driving License		(Expiry Date)	
☐ E —NREGA Job Card			
☐ F — NPR			
☐ Z — Others		(any document notified by Central Government)	
Identification Number			

3 CONTACT DETAILS (IN CAPITAL)

Email ID*			
Mobile No*			
Tel (Off)		Tel (Res)	

4 APPLICANT DECLARATION

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under -take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only. Date: - Place: -	Applicant e- SIGN	Applicant Wet Signature
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5 FOR OFFICE USE ONLY

In - Person Verification (IPV) carried out by*	Intermediary Details*
IPV Date:	☐ Self-certified document copies received (OVD)
Emp. Name:	☐ True Copies of documents received (Attested)
Emp. Code:	AMC / Intermediary Name: TORUS FINANCIAL MARKETS PVT LTD.
Emp. Designation:	
Employee Signature and Stamp	 Institution Name and Stamp

WET SIGNATURE

I authorize Torus Financial Markets Pvt Ltd. to use the above signature/facsimile on the application form for opening Trading & Demat account (Online). I have verified all details / declaration / confirmation therein.

I/We hereby consent to share with CDSL Ventures Limited (CVL), my/our KYC documents along with the complete set of Demat and broking account opening forms / information submitted by me/us to the intermediary. We understand that once the above documents/information are uploaded onto the system of CVL, the same can be downloaded by any other intermediary/KYC Registration Agency with whom we are entering a business relationship.

Name:	
Pan number:	
Date:	

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BOOKLET 1 (SECURITIES & COMMODITIES)

S NO	Name of the Document	Brief Significance of the Document	Pg. No.
Mandatory document as prescribed by SBEI & Exchange			
1	Account Opening Form	A.KYC form - Document captures the basic information about the constituents and an instruction/checklist. B. Document captures the additional information for Trading & Demat Account	1-9 10-12
2	Demat Debit and Pledge Instructions (DDPI)	Execution of Demat Debit and Pledge Instruction (DDPI) for transfer of securities towards deliveries / settlement obligations and pledging/repledging of securities	13
3	Nomination & DIS	Nomination facility and Issuance of DIS	14-15
4	Tariff sheet	Document detailing the demat fee schedule & rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	16-17
5	Authorization for Running Account	Authorization letter to Torus Financial Markets Pvt Ltd, facilitates maintaining of fund with the member for every pay-in of funds obligation / margin obligation	18
6	FATCA / Consent	FATCA / CRS Declaration / Consent to use New Registration	19
7	Mutual Fund Service Request	Mutual Fund Service System (MFSS) facility of NSE/BSE Star	20
8	Office Use	Acknowledgement and office use copy	21-22
9	MITC	Most important terms and conditions (MITC)	23

SECURITIES BOOKLET 2

S NO	Name of the Document	Brief Significance of the Document
Mandatory document as prescribed by SBEI & Exchange		
1	Rights and Obligations (Trading)	Document stating the Rights & Obligations of stockbroker/trading member, authorized person and client for trading on exchanges (including additional rights & obligations in case of internet wireless technology-based trading)
2	Rights and Obligations (DP)	Documents detailing Rights & Obligations of beneficial owner and depository participant
3	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the Securities
4	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the Investors.
5	Policies and Procedures	Document describing significant policies and procedures of the stockbroker

For more details on Securities Booklet 2, refer to the welcome email sent to your registered email ID

Note: Booklet 1 to be filled by client and returned to TFM. Booklet 2 to be read, understood by the client for future reference

Torus Financial Markets Pvt Ltd:

SEBI Registration No: INZ000299936

Research Analyst - INH000008695

Stock Broker: BSE, NSE,

Member ID: NSE 9024 BSE 6761

Clearing NSE EQ CLG Code 06637

NSEFO & BSEFO: Globe Capital Market Limited Clg.

Member Code: M50302

Clearing corporation: NSE Clearing Ltd (NCL)

Mutual Funds Distribution: AMFI ARN (Corporate):- 242261

CDSL SEBI Reg. No. IN-DP-664-2022

Registered and Correspondence Address: 2nd floor, ICC Chambers-II, Saki Vihar Road, Near MTNL office, Powai, Mumbai – 400072

Tel.: (91) 022 68959030 **Fax:** (91) 022 68959030 **Website:** <https://www.torusfinancialmarkets.com/> **CIN:** U65990MH2021PTC356714

Compliance Officer Name: Mr. Rahul Bhanushali **Phone No.** (91) (22) 68959000 **Email id:** tfin.compliance@torusgroup.in

For any grievance/dispute: Please contact Torus Financial Markets Private Limited at the above address or email id - customercare@torusdigital.com/grievance@torusdigital.com/dpgrievance@torusdigital.com and Phone no. 022-68959030.

In case not satisfied with the response, please contact the concerned exchange(s) at: NSE: ignse@nse.co.in BSE: is@bseindia.com Phone no. NSE: 022-26598190 / 1800 22 0051 BSE: 022-22721233/34

SEBI SCORES - <https://scores.sebi.gov.in>

Pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19, 2003, TFM discloses to its clients its policies on proprietary trades. TFM does proprietary trades in the cash and derivatives segment at NSE & BSE.

Important Instructions	
1	All details to be filled in in Capital letters in Black/Blue Ink Only.
2	Holder's Names in all the required fields should be filled as per the supporting documents submitted.
3	Email ID & Mobile number are very important as account-related passwords and transaction details will be communicated on that.
4	Provide correct Bank IFSC & MICR code for hassle-free transactions in the future.
5	Ensure Signatures are done on all MANDATORY forms & VOLUNTARY documents (Opted by Client).
6	All KYC documents must be self-attested, and corrections should be counter-signed on the left-hand side of the page.
7	Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
8	In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof must be lodged along with the application.
9	All correspondence/queries shall be addressed to the first/sole applicant.
10	Strike off the option whichever is not applicable in the AOF.
11	Fields marked with "*" are mandatory fields
12	Please fill the form in English and in BLOCK letters.
13	Please fill the date in DD-MM-YYYY format.
14	Please read section-wise detailed guidelines/instructions at the end.
15	List of State/U. T code as per Indian Motor Vehicle Act, 1988 is available at the end.
16	A list of two-character ISO 3166 country codes is available on the website.
17	The KYC number of the applicant is mandatory for updating application.
18	For a particular section update, please tick (✓) in the box available before the section and strike off the sections not required to be updated.
19	Annexure A1 for related person, refer to the website.

Central KYC Registry Instructions /Check List/Guidelines for Filling Individual KYC Application Form

A Clarification / Guidelines on filling ' Personal Details' Section

- 1 Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 One of the following is mandatory: Mother's name, Spouse's name, Father's name.

B. Clarification / Guidelines on Filling 'Current Address Details' Section

- 1 In case of deemed POA such as utility bill, the document need not be uploaded on C-KYCR
- 2 PoA to be submitted only if the submitted PoI does not have current address as per PoI is invalid or not in force.
- 3 state / U, T code and Pin / Post code will not be mandatory for Overseas addresses.
- 4 In Section 2, one of I, II, and III is to be selected. In case of online E-KYC authentication, II is to be selected
- 5 In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected
- 6 List of documents for 'Deemed Proof of Address: -
Document Code _____ Description _____

- 1 Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
- 2 Property or Municipal tax receipt.
- 3 Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
4. Letter of allotment of accommodation from employer issued by State Government Departments or Public Sector Undertakings, if they contain the address.
5. Letter of allotment of accommodation from employer issued by State Government or Central Governments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and license agreements with such employers allotting official accommodation.
6. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
7. "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation & Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 8 'Digital KYC process' must be carried out as stipulated in the MPL Rules, 2005.

C. Clarification / Guidelines on filling 'Contact Details' section

- 1 Please mention two-digit country code and 10-digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- 2 Do not add 'D' in the beginning of Mobile number.

D Clarification / Guidelines on filling 'Related Person details' section

- 1 Provide KYC number of related person, if available

E. Clarification on Minor

- 1 Guardian details are optional for minors above 10 years of age for opening of bank account only
- 2 However, in case guardian details are available for minors above 10 years of age, the same (or C-KYCR number of guardian) is to be uploaded.

CHECK LIST FOR FILLING KYC FORM (LIST OF DOCUMENTS TO BE SUBMITTED)- FOR INDIVIDUAL

	Acceptable Documents (Self attested copies required)	CKYC Code	Please Tick ✓
PAN card	of Account Holder		
Photograph	One Colored Front Face Photograph on photographic Paper (Passport Size)		
Proof of Identity (Anyone)	1. Valid Passport (Name, Address & Photo page)	A	
	2. Voter ID (front and back)	B	
	3. PAN Card	C	
	4. Valid Driving License (Name, Address & Photo page)	D	
	5. Unique identification number (UID) (Aadhaar) [Aadhar consent is mandatory, please refer our website for consent format]	E	
	6. Other (Pls. Specify) _____	Z	
Proof of Address (Anyone)	1. Unique identification number (UID) (Aadhaar) [Aadhar consent is mandatory, please refer our website for consent format]	01	
	2. Valid Passport (Name, Address & Photo page)	02	
	3. Valid Driving License (Name, Address & Photo page)	03	
	4. Voter ID (front and back)	04	
	5. Electricity Bill (not more than 2 months old)	S01	
	6. Resident Landline Tel. Bill (not more than 2 months old)	S02	
	7. Registered Lease/Leave & License Agreement	S05	
	8. Other* (Pls. Specify) _____	99	
Bank Proof Provide for all the Bank Account Mentioned (Anyone)	Specifying name of the constituent, MICR Code or/and IFSC Code of the bank		
	1. Bank Statement / Bank Passbook with cheque leaf (not more than 2 months old, seal & signature of Bank Official)		
	2. Verify bank account through penny drop method		
	3. Cancelled Personalized Cheque leaf		
Proof of Income (Any One)	1. Copy of ITR Acknowledgement		
	2. Copy of Annual Accounts		
	3. In case of salary income - Salary Slip, Copy of Form 16		
	4. Net-worth certificate		
	5. Copy of Demat Account Holding Statement		
	6. Bank Account Statement for last 6 months reflecting income		
GSTIN	Kindly provide the GST number in place provided in additional KYC for Demat and Trading account along with the copy of GST registration certificate.		

IMPORTANT INSTRUCTIONS

General

- Trading Account will be in the name of First/Sole holder of Demat and/or Bank A/c only
- Thumb impression and signatures other than English, Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a special Executive Magistrate
- Witness should be a person other than co-holder / joint holder
- The applicant should authenticate any corrections/ alteration in the account opening form
- All the fields in the Form must be filled up otherwise the Form may be rejected
- The Applicant has to sign wherever sign mark F,S,T is mentioned {F-First Holder, S-Second Holder, T-Third Holder}
- In case of second or Third Holder applying for demat account, download the Know Your Client (KYC) form from our website and submit along with AOF, with proofs.
- Signature should be preferably in black ink. Incase of any correction/cancellation, please provide counter signature on the left side of the page.

Bank Details

- Cheque/DD towards Registration fees & other charges should be drawn in favour of "Torus Financial Markets Pvt Ltd."
- It is mandatory to provide complete All Bank Accounts' details. In absence of complete details, form may be rejected

Demat Account

- For Demat Account, Joint Holder and Nominee cannot be the same person
- Photographs to be signed across and pasted (Not Stapled) for all the holders in Demat as well as the nominee and his/her guardian
- In case of joint applicants for Demat Account, copy of PAN, Proof of Address and Proof of Identity for all Applicants is mandatory
- Demat Account cannot be linked with Trading Account where minor is the joint holder in Demat account

ADDITIONAL KYC FORM FOR TRADING & DEMAT ACCOUNT

MANDATORY

To,
Torus Financial Markets Pvt Ltd (TFM)
2nd floor, ICC Chambers-II, Saki Vihar Road,
Near MTNL office, Powai, Mumbai - 400072

Important Instructions - Leave this Client ID blank if you are opening DP Account along with Trading Account
- The First Holder in the DP Account must be the Trading Account Holder.

Depository Participant Name: Torus Financial Markets Pvt Ltd **Beneficiary Name:**

Depository Name: CDSL

DP ID:

Client ID:

Type of account (please tick ✓ whichever is applicable)

Status

Sub status

☐ **Individual**

☐ Individual Resident

☐ Individual Director

☐ Individual Director Relative

☐ Individual Promoter

☐ Individual minor

☐ Individual Marign Trading

☐ Individual HUF / AOP

☐ Others (specify)

(MANTRA)

☐ **NRI**

☐ NRI repatraiable

☐ NRI Non- Repatraibale

☐ NRI Repatriable Promoter

☐ NRI Depository Receipts
(Promoter)

☐ Others (specify)

☐ **Foreign National**

☐ Foreign National

☐ Foreign Depository Receipts

☐ Others (specify)

(To be filled by the applicant in **BLOCK LETTERS** in English with a black ballpoint pen) I / We request you to open a Demat Account in my / our name as per the following details:)

Name *			
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.			
Holders Details			
Sole/First Holder's Name		PAN	
UID Second Holder's Name		PAN	
UID Third Holder's Name		PAN	

Other Details

☐ Sole / First Holder	Gross Annual Income :	☐ Below 1 lac	☐ 1-5 lac
	☐ 5-10 lac	☐ 10-25 lac	☐ >25 lac
	Net-worth in ₹ (*Net worth should not be older than 1 year) as on (date) -		
	Occupation :	☐ Private sector service	☐ Public sector
	☐ Government sector	☐ Agriculturist	☐ Retired
	☐ Housewife	☐ Student	☐ Forex dealer
	☐ Business	☐ Others (please specify)	
	Please tick ✓, If Applicable:	☐ Politically exposed person	☐ Related to a Politically exposed person
☐ Second Holder	Gross Annual Income :	☐ Below 1 lac	☐ 1-5 lac
	☐ 5-10 lac	☐ 10-25 lac	☐ >25 lac
	Net-worth in ₹ (*Net worth should not be older than 1 year) as on (date) -		
	Occupation :	☐ Private sector service	☐ Public sector
	☐ Government sector	☐ Agriculturist	☐ Retired
	☐ Housewife	☐ Student	☐ Forex dealer
	☐ Business	☐ Others (please specify)	
	Please tick ✓, If Applicable:	☐ Politically exposed person	☐ Related to a Politically exposed person
☐ Third Holder	Gross Annual Income :	☐ Below 1 lac	☐ 1-5 lac
	☐ 5-10 lac	☐ 10-25 lac	☐ >25 lac
	Net-worth in ₹ (*Net worth should not be older than 1 year) as on (date) -		
	Occupation :	☐ Private sector service	☐ Public sector
	☐ Government sector	☐ Agriculturist	☐ Retired
	☐ Housewife	☐ Student	☐ Forex dealer
	☐ Business	☐ Others (please specify)	
	Please tick ✓, If Applicable:	☐ Politically exposed person	☐ Related to a Politically exposed person

Detail of Guardian (in case the demat Account Holder is Minor)

Guardian Name			
Guardian PAN		Relationship with the applicant	

In case of following a client for trading on any other exchange at a later date, which is not selected now a separate consent letter is required to be obtained by the member from client and to be kept as enclosure with this document.

TRADING PREFERENCES			
Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.			
Exchanges	NSE, BSE		MCX, BSE & NSE
All Segments	Cash/ Mutual Fund	F&O	Commodity Derivatives
If you do not wish to trade in any of segments/ Mutual Fund, please mention here			

F& O Income proof	
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Bank Account(S) Details

Bank name	Branch name/Add/code city, state, country, pin code	Bank acc No.	A/c type (S/C/O+)	MICR CODE (9 digit)	IFSC CODE (11 char)

+ S-Saving C-Current (will not be able to do online fund transfers) 0- Others-In case of NRI/NREINROS For Demat Ne & Default for Pay out (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) (ii) Photocopy of the Bank Statement having name and address of the BO (iii) Photocopy of the Passbook having name and address of the BO, (or) (iv) Letter from the Bank. In case of options (ii), (iii) and (iv) above, Microcode of the branch should be present/ mentioned on the document.

Depository Account(S) Details, If Available

Depository participant name	Depository name (CDSL/NSDL)	DP ID	Beneficiary ID (BO ID)

MTF Declaration

☐ I am availing margin trading facility as per SEBI circulars

We is/are interested and wish to avail Margin Trading Facility offered by Torus financial markets private limited.

Further, I/We hereby confirm that I/We have read and understood the Rights and Obligations with regard to Margin Trading Facility and Terms and Conditions prescribed by TFM and agree to abide by the same.

SMS Alert Facility	MOBLIE NO:- (As specified in Kyc form)
Refer to Annexure for terms and Conditions – cum – registration / modification form for receiving SMS Alerts from CDSL	
Easi	To register for easi please visit our website www.cdslindia.com Easi allow a BO to view his ISIN balances , Transaction and value of portfolios online

Additional Details (If Not Marked, The Default Option Would Be)

I/We wish to receive Contract Note and other related reports/statements/documents in electronic mode	☐ YES ☐ NO
E-mail/SMS alerts from exchanges/depositories will be set on Mobile no & Email id mentioned in KYC form:	
Mobile No belongs to: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents	Owner PAN
Email. Belongs to: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parent	Owner PAN
I/We wish to update my GSTIN number ☐ YES ☐ NO	
If yes, please provide your 15-digit GSTIN No. _____	

CLIENT CLASSIFICATION

Please mention commodity/ name and select the classification. If no option is selected, Retail - Individual will be considered as default.

Name of the Commodity	Physical Commodity Trader / Value Chain Participant	Processor / Miller	Retail - Individual	Farmer (Only for Agri-commodity)
	☐	☐	☐	☐
	☐	☐	☐	☐
	☐	☐	☐	☐

MANDATORY

I/We wish to avail of the facility of internet trading/ wireless technology (please specify) ☐ YES ☐ No

Number of years of Investment/Trading Experience in Capital Market: ☐ ☐ No Experience

I / We instruct the DP to receive each credit in my / our account [Automatic Credit]

(If not marked, the default option would be 'Yes') ☐ Yes ☐ No

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without

any other further instruction from my/our end (If not marked, the default option would be ☐ Yes, ☐ No

Account Statement Requirement ☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly

I / We request you to send Electronic Transaction-cum-Holding Statement at the email ☐ Yes ☐ No

ID: as *specified in KYC form*

I / We would like to share the email ID with the RTA ☐ Yes ☐ No

I / We would like to receive the Annual Report ☐ Physical/ ☐ Electronic/ ☐ Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)

I / We wish to receive dividend / interest directly into my bank account as given below through ECS? ☐ Yes ☐ No (If not

marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]

I/We authorize and agree to receive call or SMS or email or WhatsApp from Torus Financial Markets Pvt Ltd (TFM) & its associates with reference to products and offerings. This authorization shall override my/our registration for DND/DNC/NDNC, if any. ☐ Yes ☐ No

Privacy policy: <https://www.torusf.com/privacy-policy> | Terms of use: <https://www.torusfinancialmarkets.com/terms-and-conditions>

Dealings Through Authorized Person and Other Stockbrokers

If client is dealing through the authorized person, provide the following details:

Authorized Person Name: _____

SEBI Registration number NSE INS 23: _____ BSE INS01: _____

Registered Office: _____ City/Town/Village: _____

Address: _____ State: _____

Pin Code: - _____ Country: _____

Tel No. Fax: _____ Mobile No.: +91 - _____

Email id: _____ Website: _____

Whether dealing with any other stock broker/authorized person (if case dealing with multiple stockbrokers/authorized person, provide details of all)	Name of stockbroker: _____ Name of Authorized Person, if any: _____ Client Code: _____ Exchange: _____ Details of disputes/dues pending from/to such stockbroker/authorized person: _____
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Past Actions

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities/commodities during the last 3 years	☐ NO
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Introducer Details (Optional)

Name of Introducer: _____

Status of Introducer ☐ Sub-broker ☐ Re miser ☐ Authorized Person ☐ Existing Client ☐ Employee ☐ Others (please specify)

Authorized Person / Client Code / Employee Code (SAPID): _____

Address: _____ City/Town/Village: _____

State: _____ Pin code: _____

Tel No. Fax: _____ Signature of Introducer: -

Tel No.: _____

Any other information: _____

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

THIS Demat Debit and Pledge Instruction (DDPI) is made on the date, and place as mentioned in the Schedule hereunder by the persons mentioned in the Schedule under title "Demat Account Holder/s" (herein- after referred to as "Demat Account Holder/s/Account Holder/s") IN FAVOUR OF Torus Financial Markets Pvt Ltd (TFM), a Company incorporated under the Companies Act, 1956 having its Registered @ 2nd Floor, ICC Chambers, Saki Vihar Rd, Muranjan Wadi, Marol, Andheri East, Mumbai, Maharashtra 400072

office at Torus Financial Markets Pvt Ltd, No.110, Pondy - Cuddalore main Road, Thavalakuppam, Puducherry - 605 007 (hereinafter referred to as "Torus Financial Markets Pvt Ltd" which expression shall unless repugnant to the context thereof shall mean and include its successor) acting through its directors, officers or such other persons as may be authorized from time to time in this regard.

Whereas: The persons mentioned in the Schedule under title "Client Details" (hereinafter referred to as "the Client") has opened/is desirous of opening a Trading and Demat Account with Torus Financial Markets Pvt Ltd (TFM). The Demat Account Holder/s hold demat account/s with Torus Financial Markets Pvt Ltd (TFM), a Depository Participant details where off is mentioned in the Schedule hereunder under title "Demat Account Details" (hereinafter referred to as "the Demat Account"), wherein the Clients are the Beneficial Owners ("BO"). The Client is also the first holder/sole holder of the Demat Account; (the Account Holders). This DDPI is limited to accessing the Beneficial Owner (BO) account of the Client to meet settlement obligations of the trade executed by the Client. With respect to the aforesaid activity, the Client is now desirous of executing this DDPI in the favor of Torus Financial Markets Pvt Ltd (TFM).

Now it is withiest as follows: I/We, the Account Holder/s hereby explicitly agree to authorize Torus Financial Markets Pvt Ltd (TFM) to access my/our account/s for the limited purpose of meeting pay in obligations for settlement of trades executed and availed by me/us and to do the following:

Description	Signature
☐ Transfer of securities held in my/our account/s towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me/us on the Stock Exchange through Torus Financial Markets Pvt Ltd (TFM)	
☐ Pledging/ re-pledging securities in Favour of trading member (TM) / clearing member (CM) to meet margin requirements in connection with the trades executed by me/us on the Stock Exchange.	
☐ Mutual Fund transactions being executed on Stock Exchange order entry platforms	
☐ Tendering shares in open offers through Stock Exchange platforms This DDPI shall be governed by the laws of India and shall be subject to the Jurisdiction of the Courts at Mumbai.	

Torus Financial Markets Pvt Ltd - Demat Account details NSE - CDSL:120948000000069: CMBP ID - IN545277	Client Unpaid securities pledge account - CDSL 1209480000050467
TM/CM Client Margin Pledge Account (CMPA): CDSL 1209480000000113	Client securities Margin Funding Account - CDSL 1209480000000191
Torus Financial Markets Pvt Ltd Securities - Bank Account details	Name of the Bank - HDFC BANK, Fort, Mumbai. Bank Account Number - 57500000835623
First Holder Name	

I authorize Torus Financial Markets Pvt Ltd to use the signature below and facsimile on the application form for opening Trading & Demat account (Online). I have verified all details / declaration / confirmation therein.

Name	
Pan number:	
Place:	
Date:	

NOMINATION FORM - ANNEXURE B**Declaration Form for opting out of nomination****MANDATOR**

DP ID:	BO ID:	Client Code:	Date: -
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To,
Torus Financial Markets Pvt Ltd (TFM)
2nd floor, ICC Chambers-II, Saki Vihar Road,
Near MTNL office, Powai, Mumbai - 400072

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / demat account.

Name and Signature of Holder(s)*

1. _____ 2. _____ 3. _____

OPTION FORM FOR ISSUE OF DISBOOKLET

Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

☐ **OPTION 1:**

I / We REQUIRE YOU TO ISSUE Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I / we have issued a Power of Attorney (POA) in favor of Torus Financial Markets Pvt Ltd (TFM) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through you.

☐ **OPTION 2:**

I / We DO NOT REQUIRE the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a DDPI in favour of Torus Financial Markets Pvt Ltd (TFM) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through you. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/ us immediately on my/our request.

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature	F13	S4	T4

IMPORTANT Welcome kit and Password for your account will be sent on your registered email ID, login ID and alerts on mobile no. as mentioned in KYC form.

☐ **2. Tick If Applicable** ☐ **Residence For Tax Purpose in Jurisdiction(S) Outside India** (Please refer instruction B at the end)

Additional Details Required* (Mandatory only if section 2 is ticked)	
ISO 3166 Country Code of Jurisdiction of Residence*	
Tax Identification Number or equivalent (If issued by jurisdiction) *	
Place / City of Birth*	ISO 3166 Country Code of Birth*

NOMINATION FORM – ANNEXURE A

(To be filled in by Individual applying singly or jointly)

DP ID:	BO ID:	Client Code:	Date:
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To,
Torus Financial Markets Pvt Ltd (TFM)
2nd floor, ICC Chambers-II, Saki Vihar Road,
Near MTNL office, Powai, Mumbai - 400072

I/We wish to make a nomination. [As per details given below]

Nomination Details

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination can be made up to three nominees in the account.		Details of 1 st Nominee	Details of 2 nd Nominee	Details of 3 rd Nominee
Mandatory Details				
1	Name of the nominee(s) (Mr./Ms.)*			
2	Share of each Nominee	Equally [If not equally, please specify percentage] %	%	%
3	Date of Birth of nominee(s)			
4	Relationship With the Applicant (If Any)			
Non-mandatory Details (* Date of Birth and Name of Guardian to be provided in case of minor nominee(s))				
5	Name of Guardian *			
6	Date of Birth of Guardian *			
7	Relationship of Nominee(s)/ Guardian in case of Minor			
8	Address of Nominee(s)/ Guardian in case of Minor City / Place: State & Country: PIN Code			
9	Mobile / Telephone No. of nominee(s)/ Guardian			
10	Email ID of nominee(s)/ Guardian			
11	Nominee/ Guardian (in case of Minor) Identification details – [Please tick any one of following and provide details of same] ☐ Photograph & Signature PAN ☐ Aadhaar Saving Bank account no. Proof of Identity Demat Account ID			
Name(s) of holder(s)			Signature(s) of holder*	
Sole / First Holder (Mr./Ms.)				
Second Holder (Mr./Ms.)				
Third Holder (Mr./Ms.)				

*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.
The Intermediary shall provide acknowledgement of the nomination form to the account holder(s)

DEMAT FEE SCHEDULE EFFECTIVE FROM JANUARY 10, 2024
MANDATORY

Sr	Fee Head	DP Charges	
		Category	Charges
1	Annual Maintenance Charges (AMC) (levied on monthly basis) Basic services Demat Account BSDA (Only for Individual having one demat account): A. If Holding value at any date is not greater than Rs. 4,00,000/- B. If Holding value at any date between Rs. 4,00,001/- to Rs. 10,00,000/- C. If Holding value in Debt segment at any date is not greater than Rs. 4,00,000/- D. If Holding value in Debt segment at any date between Rs. 4,00,001/- to Rs. 10,00,000/- If not marked, the default option would be non BSDA Account (for individuals only)	☐ B S D A	A Nil B ₹100/- pa C Nil D ₹100/- pa
	Regular Demat Account (Non BSDA): For Individual /HUFs / Trust for NRIs / Foreign Nationals For Corporate / Other Non-Individuals	☐ Non BSDA	₹ 400/- p.a. ₹ 1000/- p.a.
	If not marked, the default option would be non BSDA Account (for individuals only)		
	Lifetime Free AMC* (New) For individual	☐ Lifetime AMC	Nil
2	Transaction Charges: SELL (Market & Off Market)		
	For instructions given in physical form		Rs 11/- per ISIN
	For instructions received through Internet/ online trading through Torus Financial Markets Pvt Ltd.		Rs 11/- per ISIN
	Extra charges for processing of instructions submitted on the execution date (accepted at Client's risk, only for physical instructions)		Rs 11/- per ISIN
3	Dematerialization each request form: ₹ 30/- Extra for each certificate: ₹ 5/		
	Rematerialisation :A fee of ₹ 40/- for 100 securities or part thereof or a flat fee of ₹ 25/- per certificate whichever is higher in addition to Registered post charges, subject to minimum ₹ 25/		
4	Closure of Account, Failed instruction Charges, Custody Charges, Account Opening, Request for Modification of details like Bank Account/Client Address.		Nil
5	Pledge Set up/Removal/Confirmation/Innovation		RS 21
	Margin/pledge: - Rs 21/- per isin	margin/pledge/repledge:- Rs 21/- isin	
	Invocation of margin pledge/repledge: - Rs 21/- per isin	client securities under margin funding a/c Rs 21/- per isin	
6	Additional Account statements: Non BSDA For Individuals/HUFs/Corporate for NRIs / Foreign Nationals (at Indian address) For NRIs / Foreign Nationals (at foreign address) Physical Statement (for BSDA client-Two statements will be provided free of cost in billing cycle)		₹ 40/- per statement ₹ 100/- per statement ₹ 1000/- per statement ₹ 25/- per statement
7	Re-issue of DIS booklet		₹ 50/

• There will be a charge of ₹ 100/- for dishonor of any cheque or unsuccessful attempt to recover payment through direct debit or ECS. The depository services are liable to be discontinued if Torus Financial Markets Pvt Ltd (TFM). is unable to recover charges from the customer, for any reason whatsoever. There will be a charge of ₹ 250/- for resumption of services in such cases. Torus Financial Markets Pvt Ltd. will resume services after at least 3 working days from receipt. Any service not mentioned above will be charged separately as per the rates applicable sometimes.

• Torus Financial Markets Pvt Ltd. reserves the right to revise the tariff structure with notice of 30 days (about 4 and a half weeks). This notice may be given by ordinary post or by an advertisement in the National Daily or by email to customers. We shall charge the Depository AMC only if there is any holding in the account on the last day of the month OR if there is any transaction in that month. The AMC will be charged at the beginning of every month for the previous month. We understand that if the demat account is requested to be closed during the month, any charges due till that date of request, the same will be charged to me/suggest and other government / statutory levies will be charged extra.

• Holding statement, Transaction statement, changes request conformation and Bills may be sent to the email ID of the client, registered in our system and by posting on the website of www.torusfinancialmarkets.com. (a) In case client(s) give(s) the written request for physical copy of the transaction statements, the same shall be provided at the address of the client(s) registered in our systems. (b) The Client(s) will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account. (c) The Client(s) is/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. (d) In case transaction statements are sent by email, the Client(s) shall immediately inform the DP about change in email address, if any. (e) DPs and Client(s) shall have the right to terminate such service provided a written notice is given at least 10 days (about 1 and a half weeks) in advance to the other party

• For BSDA (Circular No. CIR/MRD/DP/22/2012 dated August 27, 2012): a) The individual shall have only one BSDA in his/her name across all depositories (CDSL). b) Value of securities held in BSDA shall not exceed ₹ 4,00,000/- (Rupees Two Lakhs) at any point of time. c) If the value of holding in such BSDA exceeds the prescribed criteria at any date, the DPs may levy charges as applicable to regular accounts (non BSDA) from that date onwards. d) All BOs opting for the facility of BSDA, shall register their mobile number for availing the SMS alert facility for debit transactions. e) In case the individual already has BSDA or open a new BSDA on a later date, then status of this demat account as BSDA will be ceased to exist. f) Refer to aBSDA Terms and Conditions for more details. g) Two statements will be provided free of cost in the billing cycle. Additional Physical statement will be charged Rs. 25/- per statement, whereas electronic statement will be provided free of charge.

	First / Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name		S5	T5
Signature	F14	S5	T5

IMPORTANT: Welcome kit and Password for your account will be sent on your registered email ID, login ID and alerts on mobile no. as mentioned in KYC form.

TARIFF SHEET RELATED FOR TRADING ACCOUNT

MANDATORY

Sr	Application Processing Charges: Free	
1	Brokerage scheme Name :	
	Capital Market Segment (NSE & BSE) *	Brokerage for Delivery / Intraday Trades
	Applicable Charges	
	Derivatives Segment (NSE & BSE) *	Brokerage for Trades in Future / for Trades in Option (₹ per lot)
	Applicable Charges	
	Currency Segment (NSE & BSE)	
2	Delayed Payment Interest (DPI): For the purpose of debit, it will include: - - Debit against cash/commodity market transaction with or without NRML - Debit against Margin shortfall, - Debit against MTM on F&O transaction/Commodity Future Transaction. - Debit on account of DP AMC Fees, - Debit on account of Brokerage due (including statutory charges) - Debit on account of "Delayed Payment Interest". Any non-cash collateral balance in your account will not be adjusted against the cash margin requirement in F & O segment for the purpose of Debit Calculation. Marking of pledge is purely for Exposure & Risk management Purpose only. Any non-cash collateral balance in your account will not be adjusted against the cash margin requirement in F & O segment for the purpose of Debit Calculation. Marking of pledge is purely for Exposure & Risk management Purpose only. Guideline for DPI: - - The Delayed Payment interest will be accrued (at applicable rates) everyday (including holiday) at EOD on the debit balance in client ledger. - On the first week of every month, a debit entry will be passed in the client ledger for the total Delayed Payment Interest accrued for the previous month. - Once the DPI is posted in the client ledger & because of that client ledger is in debit, DPI will be charged on that debit as well. - Accrued Delayed Payment Interest during the month will be deducted (daily) from Exposure Limits allotted to client for trading purposes & from the funds available for withdrawals.	
3	Other Charges:	
	Particulars	Charges (₹)
	Order placed by the client by call & Trade desk (call center)	100 per call
	Enquiry Charges (First five enquiries are free every month)	Free
	Physical copy of duplicate contract notes	500 per contract note
	Physical copy of duplicate Ledger statement	500 per statement
4	No transaction charges will be levied for the following mode of funds transfer till further notification: NEFT/RTGS/UPI	

Client name: -	
Date: -	

IMPORTANT: Welcome kit and Password for your account will be sent on your registered email ID, login ID and alerts on mobile no. as mentioned in KYC form.

AUTHORITY LETTER FOR SETTLEMENT OF RUNNING ACCOUNT OF FUNDS

Date:

To,
Torus Financial Markets Pvt Ltd (TFM)
2nd floor, ICC Chambers-II, Saki Vihar Road,
Near MTNL office, Powai, Mumbai - 400072

Dear Sir,

1. With reference to my/our trading account opened with you, I/we request you to maintain a running account for funds on my/our behalf without settling the account on settlement of each transaction. As required by SEBI circular my/ our funds at EOD shall be upstream to CC/CM daily. Further, any request made for release of funds shall be proceeded within the same day if the request is made by 2 P.M, and on the next trading day if the request is received after 2 P.M.
2. I/we understand and agree that no interest will be payable to me/us on the funds so retained with you.
3. I/we may be trading in the derivatives segment & cash segment of various Exchanges and hence have various accounts with you. In this regard I/we hereby authorize **Torus Financial Markets Pvt Ltd.** to act Atit discretion of adjusting any credit balance under my/ our various accounts against the debit in any account across segments/Exchange, without taking any further instruction from me/us.
4. Excess margins deposited towards one exchange / segment may be adjusted, on a running basis towards margin requirement / debit balance in same / other exchange / segment, where I/we have the client account.
5. I/we authorize you to set off a part or whole of the margin deposited by me/us against any of my / our dues, by appropriating relevant amount of fund or by sale of securities which form part of margin.
6. I / we hereby authorize you to deposit my / our funds deposited as margin to exchange / Clearing Corporation.
7. I/we may revoke the authorization at any time by giving written notice.
8. I/we also agree that the actual settlement of funds shall be done by us, at least once in a quarter or month, as preference given below and the statement of account for the same will be provided to me by **Torus Financial Markets Pvt Ltd.**
9. Statement of account for the same will be provided to me by Torus Financial Markets Pvt Ltd. I/we agree that funds given towards collaterals/margins in form of bank guarantee (BG) / Fixed Deposit Receipts (FDR) may not be periodically settled.
10. I/we agree that (a) in respect of derivatives market transactions, the Trading member may retain funds calculated in the manner specified below:
 - i) Entire pay-in obligation of funds outstanding at the end of day on date of settlement, across all segments.
 - ii) Members may retain 50% of end of the day (EOD) margin requirement as cash margin, excluding the margin on consolidated crystallized obligation/ MTM.
 - iii) Apart from 50% cash margin mentioned in point ii above, member may also retain 225% of EOD margin (which includes additional 125% margin) reduced by 50% cash margin and the value of securities (after applying appropriate haircut) accepted as collateral from the clients by way of 'margin pledge' created in the Depository system for the purpose of margin and value of commodities (after applying appropriate haircut). The margin liability shall include the end of the day margin requirement in all the segments across exchanges excluding the margin on consolidated crystallized obligation/ MTM. The margin liability may also include the margin collected by the Member from their clients as per the risk management policy and informed to the clients.
11. I/we agree/ understand that there shall be no inter-client adjustment for the purpose of settlement of the running account.
12. I/we shall bring any dispute arising from the statement of account or settlement so made to the Notice of the Trading Member preferably **within 30 working days** from the date of receipt of funds statement.

Preference Of Client for Settlement of Running Account (Funds)		
Settlement Preferences:	☐ Monthly	☐ Quarterly

Thanking
You. Yours
faithfully

Signature / Thumb Impression of
Applicant

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Client Name					
	First/Sole Holder		Second Holder (if any)		Third Holder (if any)
Are you U.S. Person? (Refer KYC Handout - Customer Copy)	☐ Yes ☐ No		☐ Yes ☐ No		☐ Yes ☐ No
Specify country of residence for tax purpose (Tax Residency)	☐ India ☐ Other		☐ India ☐ other		☐ India ☐ Other
Specify country of citizenship	☐ India ☐ Other		☐ India ☐ other		☐ India ☐ Other

Note: If you are a U.S. person and / or if your tax residency / nationality / citizenship is other than India, then please provide declaration / self-certification under FATCA / CRS. This declaration form can be downloaded from <http://www.torusfinancialmarkets.com> or call at centralized helpdesk at 18001020 or write to customer@torusdigital.com

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Signature /Thumb Impression
of Applicant

COMMODITY OPTION CONSENT

I/We am/are interested in availing the facility of trading in Options on Commodity Derivatives offered by Torus Financial Markets Pvt Ltd and dealing in Commodity Future through a Trading account. I/We do hereby undertake that I/we am/are competent in all respects to participate to trade in Options on Commodity Derivatives as may be permitted by Torus Financial Markets Pvt Ltd/ Exchange/ SEBI from time to time. I/We hereby confirm having read and clearly understood the contents of the Additional Risk Disclosure documents for Options Trading. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on the stockbroker's designated website, if any. I/We hereby also agree and confirm that Torus Financial Markets Pvt Ltd may demand additional exposure margin for any option position or from a particular client as per its own discretion. I/We hereby also agree and confirm that Torus Financial Markets Pvt Ltd may liquidate any of my open option position in case of margin shortfall including shortfall arise on account of devolvement margin requirement on devolvement of option positions into futures. This undertaking is in addition to the undertaking given by me/us earlier to Torus Financial Markets Pvt Ltd I/We request Torus Financial Markets Pvt Ltd to provide all necessary facilities to enable me/us for trading in Options on Commodity.

F17

Signature /Thumb Impression
of Applicant

CONSENT TO USE AADHAAR CARD COPY FOR NEW REGISTRATION

I understand that I can submit either of the following document copies as address proof for registration.

- | | | |
|--------------------|----------------|----------------|
| a. Voter ID Card | d. Ration Card | e.Aadhaar Card |
| b. Driving License | c. Passport | f.Utility Bill |

I willingly give my consent to TFM to accept a copy of my Aadhaar card and proceed with the referred application, further I/we authorize TFM to share the same with exchanges/ depositories/ regulators if required.

F18

Signature /Thumb Impression of
Applicant

MFSS/ STAR MF LETTER FOR NSE MFSS / BSE STAR MF

Date:

To,
2nd floor, ICC Chambers-II, Saki Vihar
Road, Near MTNL office, Powai,
Mumbai- 400072

Sub: Mutual Fund Service System (MFSS) Facility of NSE/ BSE STAR MF

Dear Sir,

We are registered as your client with the Client Code mentioned below to trade in the Capital Market Segment.

I / We am / are interested in availing the facility of the following Exchange for the purpose of dealing in the units of Mutual funds Scheme permitted to be dealt

☐ NSE MFSS

☐ BSE STAR MF

For the purpose of availing the facility, I / We state that Know Your Client details as submitted by me/us for the securities broking may be considered for this purpose and I / We further confirm that the details contained in same remain unchanged as on date.

I / We am / are willing to abide by the terms and conditions as mentioned in the Circular dated November 24, 2009 and as may be specified by the Exchange from time to time in this regard

I / We shall also ensure compliance with the requirements as may be specified from time to time by the Securities and Exchange Board of India and/ or Association of Mutual Funds of India (AMFI).

I / We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I / we choose to subscribe / redeem. I / We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I / We therefore request you to register me/ us as your client for participating in the MFSS/ BSE STAR MF.

Terms and Conditions

- The client shall be bound by circulars issued by NSEIL/ BSE, Rules, Regulations and circulars issued there under by SEBI and relevant Notifications of Government authorities as may be in force sometimes.
- The client shall notify the Participant in writing if there is any change in the information in the 'client registration form' provided by the Client to the Participant at the time registering as a client for participating in the New MFSS/ BSE STAR MF or at any time thereafter.
- The client shall submit to the Participant a completed application form in the manner prescribed format for the purpose of placing a Subscription order with the Participant.
- The client has read and understood the risks involved in investing in Mutual Fund Schemes.
- On redeeming Demat units the corresponding funds will be credited only to your ledger account only.
- Torus Financial Markets Pvt Ltd (TFM) reserves the right to discontinue any type of transaction with notice of 15 days (about 2 weeks) in advance.
- The client shall be wholly responsible for all his investment decisions and instruction.
- The client shall ensure continuous compliance with the requirements of the NSEIL, BSE, SEBI and AMFI
- The Client shall pay to the Participant fees and statutory levies as are prevailing from time to time and as they apply to the Client's Account, transactions and to the services that Participant renders to the Client.
- The client will furnish information to the Participant in writing, if any winding up petition or insolvency petition has been filed or any Winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his Capacity has been filed against him
- In the event of non-performance of the obligation by the Participant, the client is not entitled to claim any compensation either from The Investor Protection Fund or from any fund of NSEIL/ BSE or NSCCL/ ICCL
- In case of any dispute between the Participants and the investors arising out of this facility, NSEIL/BSE and / or NSCCL/ ICCL agrees to Extend the necessary support for the speedy redressal of the disputes.

Thanking You.
Yours faithfully

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IMPORTANT: Welcome kit and Password for your account will be sent on your registered email ID, login ID and alerts on mobile no. as mentioned in KYC form.	Client Name

Note: Signature required in case opted for NSE MFSS or BSE STAR MF under Trading Preferences.

ACKNOWLEDGEMENT CUM DECLARATION

Date:

To,
Torus Financial Markets Pvt Ltd (TFM)
 2nd floor, ICC Chambers-II, Saki Vihar Road,
 Near MTNL office, Powai, Mumbai - 400072

I hereby acknowledge that the following documents/ process were made available/ explained to me:

1. Rights and Obligations of stockbrokers, Authorized Person and Clients (Securities & Commodities)
2. Rights and Obligations of Beneficial owner and Depository Participant (DP)
3. Rights & Obligations of Clearing Member/ Participant and its Client (SLB)
4. Risk Disclosure Document (Securities & Commodities)
5. Additional Risk Disclosure documents for Options Trading (Commodity)
6. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors
7. Policies and Procedures of Torus Financial Markets Pvt Ltd. pursuant to the SEBI circular dated December 03, 2009
8. General Terms and Conditions governing Securities & Commodities trading and Broking services of Torus Financial Markets Pvt Ltd.
9. Terms & Conditions for Basic Services Demat Account (BSDA), if applicable
10. Process and mechanism for harnessing online conciliation and/ or online arbitration as specified by SEBI from time to time with respect to ODR platform.
11. In accordance with the regulatory requirement, client can voluntarily freeze/block their trading account in case any suspicious activity is found in their trading account. The detailed process is available on our website www.torusfinancialmarkets.com under Procedure for Voluntary Freezing of Online Access to Trading A/c
12. Most Important Terms and Conditions (MITC)
13. Policy on handling Good till Date (GTD)/Good till Cancelled (GTC) orders

Click to access all the mandatory document details <https://www.torusfinancialmarkets.com/mandatory-document>

I understand that the Voluntary documents executed by me are out of my/our free will.

I hereby confirm that I have clearly understood and agree to abide by the Policies and Procedures, Rights and obligations, Terms and Conditions (containing para 1 to 105) and Tariff Structure and be bound by same and by the bye laws as are in force from time to time as described by Torus Financial Markets Pvt Ltd (TFM) and I have accordingly selected the Tariff on tablet/ computer/ mobile phone. I also understand and agree that these Policies and Procedures, Terms and Conditions and Tariff Structure can be changed by TFM from time to time with prior notice of 30 days (about 4 and a half weeks) through email.

I hereby declare that any change in the information submitted by me regarding FATCA/CRS shall be intimated to TFM immediately.

I am aware that the login Id and password of my trading account will be sent on email ID, alerts on mobile number furnished for KYC details through tablet/ computer/mobile phone and any changes thereof.

I confirm that the details of KYC/ Demat/Trading forms filled in through tablet/ computer/ mobile phone/website have been shown to me and verified by me. I have been informed that the forms/ documents/ tariff would be made available to me through my log-in in my account on TFM website and I undertake that it would be my responsibility to check, scrutinize the said forms and documents within 15 days (about 2 weeks) of account opening and intimate discrepancies if any therein to TFM immediately and in any case within one month of account opening, I have understood the process of submission of details for account opening through tablet/ computer/ mobile phone. I further authorize TFM to use my facsimile signature E-signature received from UIDIA for account opening and details submitted through above process for execution of my documents for both Trading and Demat account. I am aware that three-signature will be populated on all the forms/ documents/ declarations required to be executed by me for my Trading and Demat account opening and will have the same validity and will be acceptable by me as if the same have been physically signed and I shall not object to the same.

I hereby declare that the details furnished through the above process are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the said information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for termination and suitable action.

Client pan	
Client signature	

IMPORTANT Welcome kit and Password for your account will be sent on your registered email ID, login ID and alerts on mobile no. as mentioned in KYC form.

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stockbroker, their representatives and dealers) to trade in your trading account on their own without taking specific instructions from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collateral as margins with the stockbroker before you trade. The collateral can either be in the form of funds transfer into specified stockbroker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stockbroker website. Please do not transfer funds into any other account. The stockbroker is not permitted to accept any cash from you.
3. The stockbroker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stockbroker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stockbroker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stockbroker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stockbroker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/month settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stockbroker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stockbroker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stockbroker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, Net Worth, etc.) with the stockbroker as and when requested for. Kindly also keep your email Id and mobile phone details with the stockbroker always updated.
9. In case of disputes with the stockbroker, you can raise a grievance on the dedicated investor grievance ID of the stockbroker. You can also approach the stock exchanges and/or SEBI directly
10. Any assured/guaranteed/fixed returns schemes or any other schemes of a similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.



Signature / Thumb Impression of
Applicant

